

01/18/01

JC9623  
U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 13200-00058 (Previously 2666.42CIP)

First Named Inventor or Application Identifier

YAT-TUNG LAM

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification <b>Total Pages</b> 44	a. <input type="checkbox"/> Computer Readable Form (CRF)
4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <b>Total Sheets</b> 10	b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper
5. <input checked="" type="checkbox"/> Oath or Declaration <b>Total Pages</b> 3	c. <input type="checkbox"/> Statements verifying identity of above copies
a. <input type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

See attached.

I hereby certify that the invention disclosed in the attached application has not and is not intended, at this time, to be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation     Divisional     Continuation-in-part (CIP) of prior application No. 09 / 678,728  
Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	21760 (Insert Customer No. or Attach bar code label here)			or <input type="checkbox"/> Correspondence address below
NAME				
Address				
City		State		Zip Code
Country		Telephone		Fax

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09/761190  
S-PTO

01/18/01

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	68 -20 =	48	X \$ 18.00 =	\$ 864.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	19 -3 =	16	X \$ 80.00 =	\$ 1,280.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$ 2,854.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 0
				TOTAL =	\$ 2,854.00

## 19. Small entity status

- a.  A small entity statement is enclosed
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer claimed.

20.  A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.21.  A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

NAME	Richard P. Bauer, Reg. No. 31,588
SIGNATURE	
DATE	January 18, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Inventors:** Lam, Yat-Tung et al.**Group Art Unit:** Not Yet Assigned**Serial No.:** Not Yet Assigned**Examiner:** Not Yet Assigned**Filed:** Herewith**Title:** Movable Tap Finite Impulse Response Filter**REQUEST AND CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

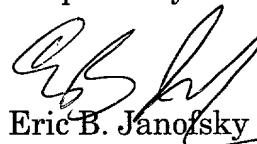
I hereby certify that the invention disclosed in the attached application **has not been and is not intended, at this time, to be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

**I hereby request that the attached application not be published under 35 U.S.C. 122(b).**

Applicants reserve the right to subsequently file an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing. At that time, Applicants intend to notify the United

States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application.

Respectfully submitted,



Eric B. Janofsky  
Attorney for Applicants  
Registration No. 30,759